IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PPLICANT:

Kimerling et al.

GROUP:

1774

SERIAL NO:

09/997,107

EXAMINER: L.D. Ferguson

FILED:

11/29/2001

FOR:

THERMALLY AND ELECTRICALLY CONDUCTING HIGH INDEX

CONTRAST MULTI-LAYER MIRRORS AND DEVICES GROUP 1700

Mail Stop DD **Commissioner of Patents** P.O. Box 1450 **Alexandria, VA 22313-1450**

Sir:

INFORMATION DISCLOSURE STATEMENT

In compliance with 37 C.F.R. §§1.56, 1.97, and 1.98, Applicant submits copies of the documents listed on the attached Form PTO-1449.

The listed documents were recently cited in a corresponding PCT application, and a copy of the International Search Report is being submitted herewith for purposes of convenience.

The Commissioner is authorized to charge Deposit Order Account No. 19-0079 for any further fee that is required.

Respectfully submitted,

Matthew E. Connors

Registration No. 33,298

Samuels, Gauthier & Stevens, LLP 225 Franklin Street, Suite 3300

Boston, Massachusetts 02110

Telephone: (617) 426-9180

Extension: 112

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Mail Stop DD, Commissioner of Patents, Alexandria, VA 22313-1450.

FORM PTO-1449 SAMUELS, GAUTHIER & STEVENS LLP . . 225 Franklin Street, Boston, MA 02110 Telephone: (617) 426-9180

MIT.8926 ATTORNEY DOCKET NO. Kimerling et al. APPLICANT:

09/997,107 SERIAL NO. 1774 GROUP: L.D. Ferguson **EXAMINER:**

INFORMATION DISCLOSURE JUL 1 L METATEMENT BY APPLICANT

· · · · · · · · · · · · · · · · · · ·	
& MADEMARY.	U.

U.S. PATENT DOCUMENTS

11/29/2001

FILING DATE:

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,568,499	10/22/1996	Lear			04/07/1995
	AB					· *	ECC
	AC					1 501	CIVE
	AD					GROU	1003
	AE						1700
	_AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	0 978 737 A1	02/09/2000	EP			YES
	AK						
	AL						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL		
	AM	"Improved Electrical and Thermal Properties of InP-AlGaAsSb Bragg Mirrors for Long-Wavelength Vertical-Cavity Lasers," Almuneau et al. <i>IEEE Photonics Technology Letters</i> . October 2000. Vol. 12, No. 10.
	AN	"Optical Filters for Plasma Display Panels Using Organic Dyes and Sputtered Multilayer Coatings," Okamura et al. <i>Journal of Vacuum Science Technology</i> . Jul/Aug 2001.
	AO	

EXAMINER

DATE CONSIDERED

EXAMINER:

Initial if citati n c nsid r d, whether r n t citati n is in c nf rmance with MPEP 609; draw lin thr ugh citation if n t in c nformance and n t c nsid red. Includ copy f this form with n xt c mmunicati n t applicant.